

**APPLICATION FOR MICRO PIGMENT IMPLANTATION PROFESSIONAL LIABILITY INSURANCE  
(Claims Made)**

**APPLICANT'S INSTRUCTIONS:**

- 1. Answer all questions. If the answer requires detail, please attach a separate sheet.
- 2. Application must be signed and dated by owner, partner or officer.
- 3. PLEASE READ CAREFULLY THE STATEMENTS AT THE END OF THIS APPLICATION.  
(PLEASE TYPE OR PRINT IN INK)

**1. APPLICANT INFORMATION**

- a. Full Name of Applicant: \_\_\_\_\_
- b. Principal business premise address: \_\_\_\_\_  
 (Street) (County)  
 \_\_\_\_\_  
 (City) (State) (Zip)
- c. Business Phone: ( ) \_\_\_\_\_
- c. Home Address: \_\_\_\_\_ Home Phone: ( ) \_\_\_\_\_
- d. Number of Employees: Full time \_\_\_\_\_ Part time \_\_\_\_\_ Seasonal \_\_\_\_\_ Total \_\_\_\_\_
- e. Date Established: \_\_\_\_\_
- f.  Sole Proprietor  Corporation  Partnership  Professional Association  
 Employee of (specify) \_\_\_\_\_

**2. BUSINESS OPERATIONS**

- a. The business, corporate or partnership name is: \_\_\_\_\_
- b. Please list names of all partners/members of the firm who provide professional services: \_\_\_\_\_  
 \_\_\_\_\_
- c. Annual number of client encounters: \_\_\_\_\_
- d. Total Gross Revenues: This fiscal year: \_\_\_\_\_ Estimated next fiscal year: \_\_\_\_\_

If you answer Yes to any of questions (e) through (i) below, please attach a detailed explanation and copies of all pertinent advertisements.

- e. Do you own or operate any business other than that shown in Question 2(a) above?  Yes  No
- f. Are you employed by any individual or entity other than that shown in Question 1(a) above?  Yes  No
- g. Are you under contract to any individual or entity?  Yes  No
- h. Do you advertise professional services in any manner?  Yes  No
- i. Are you associated with any agency or organization that engages in any kind of advertising for, or solicitation of patients?  Yes  No
- j. Do you use a collection agency?  Yes  No  
 If Yes, please give name of agency: \_\_\_\_\_
- k. Is the Applicant a "Covered Entity" under the Health Insurance Portability and Accountability Act of 1996 (HIPAA) Privacy Rule?  Yes  No  
 If Yes,  
 (i) Has the Applicant implemented procedures to comply with the HIPAA Privacy Rule?  Yes  No  
 (ii) Provide the name and title of the Applicant's Privacy Officer: \_\_\_\_\_

Our Business Associate Agreement is available at [www.shand.com](http://www.shand.com) or by fax by calling (847) 572-6268 (Form No. ZZ50002). This is the only Business Associate Agreement we will recognize.

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**3. YOUR PRACTICE**

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- a. In what states are you registered and licensed to practice? \_\_\_\_\_  
\_\_\_\_\_
- b. Where have you practiced your profession during the last 5 years? \_\_\_\_\_  
\_\_\_\_\_
- c. Detailed description of professional services: \_\_\_\_\_
- d. Indicate professional societies or associations in which you are a member: \_\_\_\_\_  
\_\_\_\_\_
- e. Are you employed by, associated with or do you work for a physician or surgeon? [ ] Yes [ ] No  
If Yes, give details including name and specialty of physicians you work for: \_\_\_\_\_
- f. Is anesthesia (other than topical or by means of local infiltration) administered by either  
yourself or others? [ ] Yes [ ] No  
If Yes, please describe in detail: \_\_\_\_\_  
\_\_\_\_\_
- g. How are instruments/equipment sterilized? \_\_\_\_\_  
\_\_\_\_\_

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**4. CLAIMS/HISTORY**

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If Yes to below, please attach details.

- a. Has any claim or suit been brought against you? [ ] Yes [ ] No
- b. Are you aware of any circumstances which may result in a malpractice claim or suit being  
made or brought against you? [ ] Yes [ ] No
- c. Have you:
- (i) ever been the subject of disciplinary or investigative proceedings or reprimand by a  
governmental or administrative agency, hospital, or professional association? [ ] Yes [ ] No
  - (ii) ever been convicted for an act committed in violation of any law or ordinance other  
than traffic offenses? [ ] Yes [ ] No
  - (iii) ever been treated for alcoholism or drug addiction? [ ] Yes [ ] No
  - (iv) ever failed any professional licensing or specialty examination? [ ] Yes [ ] No
- d. List prior professional liability insurance carried for each of the past four years. If none, state NONE.

Policy Year	Insurance Company	Liability Limit	Premium	Deductible	Retro Date

- e. Please describe your professional liability training and include a copy of your Certificate of Attendance and Diploma.  
Institution Name: \_\_\_\_\_  
Years of Training: \_\_\_\_\_  
Certification Attained: \_\_\_\_\_

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**5. ADDITIONAL INFORMATION**

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Please attach copies of the following:

- (i) Batch test consent forms.
- (ii) Procedural consent forms.
- (iii) Certificates of attendance/completion/graduation for all training programs.
- (iv) Your business letterhead.

\* NOTICE TO APPLICANT: The coverage applied for is SOLELY AS STATED IN THE POLICY, which provides coverage on a "CLAIMS MADE" basis for ONLY THOSE CLAIMS THAT ARE FIRST MADE AGAINST THE INSURED DURING THE POLICY PERIOD unless the extended reporting period option is exercised in accordance with the terms of the policy.

Any person who knowingly defrauds any insurance company by filing an application for insurance containing any false information or concealing, for the purpose of misleading, information concerning any fact thereto commits a fraudulent insurance act, which is subject to criminal and civil penalties.

WARRANTY: I warrant to the Insurer, that I understand and accept the notice stated above and that the information contained herein is true and that it shall be the basis of the policy of insurance and deemed incorporated therein, should the Insurer evidence its acceptance of this application by issuance of a policy. **I authorize the release of claim information from any prior insurer to Shand Morahan & Company, Inc., Ten Parkway North, Deerfield, Illinois 60015.**

\_\_\_\_\_  
Name of Applicant

\_\_\_\_\_  
Title (Officer, partner, etc.)

\_\_\_\_\_  
Signature of Applicant

\_\_\_\_\_  
Date

SIGNING this application does not bind the Applicant or the Insurer or the Underwriting Manager to complete the insurance, but one copy of this application will be attached to the policy, if issued.



# SHAND MORAHAN & COMPANY, INC.

Ten Parkway North, Suite 100, Deerfield, Illinois 60015  
(847) 572-6000

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## BROKER RISK SUMMARY

### (Medical Malpractice and Specified Medical)

#### ACCOUNT NAME:

Address  
City, State, Zip  
States of Licensure  
New or Renewal for Shand

#### DESCRIPTION OF SERVICES:

(Include management experience & staffing)

#### CURRENT INSURANCE PROGRAM:

Name of Carrier: \_\_\_\_\_

Limits: \_\_\_\_\_ Deductible: \_\_\_\_\_ Premium: \_\_\_\_\_

Expiration Date: \_\_\_\_\_ Retro Date: \_\_\_\_\_

#### LOSS EXPERIENCE:

(7-10 years currently valued loss information)

#### RISK MANAGEMENT/QUALITY ASSURANCE PROGRAM:

(Including Credentialing/hiring protocols)

#### DATE QUOTE NEEDED: