



**BEAZLEY ONE
PRIVATE COMPANY LIABILITY INSURANCE POLICY APPLICATION**

NOTICE: THE POLICY FOR WHICH THIS APPLICATION IS MADE IS A CLAIMS MADE AND REPORTED POLICY SUBJECT TO ITS TERMS. THIS POLICY APPLIES ONLY TO ANY CLAIM FIRST MADE AGAINST THE INSURED DURING THE POLICY PERIOD PROVIDED SUCH CLAIM IS REPORTED IN WRITING TO THE INSURER AS SOON AS PRACTICABLE BUT IN NO EVENT LATER THAN THE EARLIEST OF THE FOLLOWING TIMES: 60 DAYS AFTER THE DATE AN EXECUTIVE OFFICER BECOMES AWARE OF SUCH CLAIM, OR 60 DAYS AFTER THE END OF THE POLICY PERIOD OR THE LAST DAY OF THE OPTIONAL EXTENSION PERIOD, IF PURCHASED. AMOUNTS INCURRED AS DEFENSE COSTS SHALL REDUCE AND MAY EXHAUST THE APPLICABLE LIMITS OF LIABILITY AND ARE SUBJECT TO THE APPLICABLE RETENTIONS. PLEASE READ THIS POLICY CAREFULLY.

NOTICE TO NEW YORK APPLICANTS: THE POLICY FOR WHICH THIS APPLICATION IS MADE, IS A CLAIMS MADE POLICY. UPON TERMINATION OF COVERAGE FOR ANY REASON, A 60-DAY AUTOMATIC EXTENSION PERIOD WILL APPLY. FOR AN ADDITIONAL PREMIUM, AN OPTIONAL EXTENSION PERIOD CAN BE PURCHASED AS INDICATED IN ITEM 7. OF THE DECLARATIONS. EXCEPT AS OTHERWISE PROVIDED HEREIN, THIS POLICY ONLY APPLIES TO CLAIMS FIRST MADE DURING THE POLICY PERIOD, THE AUTOMATIC EXTENSION PERIOD OR, IF APPLICABLE, THE OPTIONAL EXTENSION PERIOD. NO COVERAGE EXISTS FOR CLAIMS MADE AFTER THE END OF THE POLICY PERIOD AND THE AUTOMATIC EXTENSION PERIOD UNLESS, AND TO THE EXTENT, THE OPTIONAL EXTENSION PERIOD APPLIES. NO COVERAGE WILL EXIST AFTER THE EXPIRATION OF THE AUTOMATIC EXTENSION PERIOD OR, IF PURCHASED, THE OPTIONAL EXTENSION PERIOD, WHICH MAY RESULT IN A POTENTIAL COVERAGE GAP IF PRIOR ACTS COVERAGE IS NOT SUBSEQUENTLY PROVIDED BY ANOTHER INSURER. THE LIMIT OF LIABILITY AVAILABLE TO PAY DAMAGES OR SETTLEMENTS SHALL BE REDUCED AND MAY BE EXHAUSTED BY DEFENSE COSTS AND DEFENSE COSTS SHALL BE APPLIED TO THE RETENTION. DURING THE FIRST SEVERAL YEARS OF A CLAIMS-MADE RELATIONSHIP, CLAIMS-MADE RATES ARE COMPARATIVELY LOWER THAN OCCURRENCE RATES, AND THE INSURED CAN EXPECT SUBSTANTIAL ANNUAL PREMIUM INCREASES, INDEPENDENT OF OVERALL RATE INCREASES, UNTIL THE CLAIMS-MADE RELATIONSHIP REACHES MATURITY. THE INSURER IS NOT OBLIGATED TO PAY ANY LOSS AFTER THE LIMIT OF LIABILITY HAS BEEN EXHAUSTED BY PAYMENT OF LOSS. PLEASE READ THIS POLICY CAREFULLY.

NOTICE TO MINNESOTA APPLICANTS: THE POLICY FOR WHICH THIS APPLICATION IS MADE IS A CLAIMS MADE AND REPORTED POLICY SUBJECT TO ITS TERMS. THIS POLICY APPLIES ONLY TO ANY CLAIM FIRST MADE AGAINST THE INSURED DURING THE POLICY PERIOD PROVIDED SUCH CLAIM IS REPORTED TO THE INSURER OR THE INSURER'S AGENT OR BROKER AS SOON AS PRACTICABLE BUT IN NO EVENT LATER THAN THE EARLIEST OF THE FOLLOWING TIMES: 60 DAYS AFTER THE DATE AN EXECUTIVE OFFICER BECOMES AWARE OF SUCH CLAIM, OR 60 DAYS AFTER THE END OF THE POLICY PERIOD OR THE LAST DAY OF THE



OPTIONAL EXTENSION PERIOD, IF PURCHASED. THIS MEANS THAT ONLY CLAIMS ACTUALLY MADE DURING THE POLICY PERIOD ARE COVERED UNLESS COVERAGE FOR AN OPTIONAL EXTENSION PERIOD IS PURCHASED. IF AN OPTIONAL EXTENSION PERIOD IS NOT MADE AVAILABLE TO YOU, YOU RISK HAVING GAPS IN COVERAGE WHEN SWITCHING FROM ONE COMPANY TO ANOTHER. MOREOVER, EVEN IF SUCH A REPORTING PERIOD IS MADE AVAILABLE TO YOU, YOU MAY STILL BE PERSONALLY LIABLE FOR CLAIMS REPORTED AFTER THE PERIOD EXPIRES. CLAIMS MADE POLICIES MAY NOT PROVIDE COVERAGE FOR WRONGFUL ACTS COMMITTED BEFORE A FIXED RETROACTIVE DATE. RATES FOR CLAIMS MADE POLICIES ARE DISCOUNTED IN THE EARLY YEARS OF A POLICY, BUT INCREASE STEADILY OVER TIME. AMOUNTS INCURRED AS DEFENSE COSTS SHALL REDUCE AND MAY EXHAUST THE APPLICABLE LIMITS OF LIABILITY AND ARE SUBJECT TO THE RETENTIONS. PLEASE READ THIS POLICY CAREFULLY.

Please fully answer all questions and submit all requested information. Terms appearing in bold face in this **Application** are defined in the Policy and have the same meaning in this **Application** as in the Policy. If you do not have a copy of the Policy, please request it from your agent or broker. This **Application**, including all materials submitted herewith, shall be held in confidence.

ORGANIZATIONAL INFORMATION:

Applicant Name _____

Principal Address _____

City, State, Zip _____ Web Address _____

Date and State of Incorporation _____

Primary Business Activity/SIC Code _____

Nature of Operations _____

If Applicant is a subsidiary of another company (ies), please provide the name of the Parent Company (ies):

Business Organization: Corporation ___ Partnership ___ Limited Liability Corporation ___

1. Please list all subsidiary entities including percentage of ownership:

2. Is the Applicant party to any joint venture arrangements? Yes No

3. Is the Applicant party to any partnership agreements? Yes No



4. Is coverage requested for **Outside Executive Positions**? Yes No

5. Is the Applicant or any of its subsidiaries a member of any trade or industry associations or societies? Yes No

6. Financial Data:
 Annual Revenues _____
 Total Assets _____

7. Total number of **Employees of Insured** including all **Subsidiaries** and all leased and seasonal employees and independent contractors.

A. Total number of employees for last three years				B. Employee Turnover for the last three years			
Year	Current	1 Year Ago	2 Years Ago	Year	Current	1 Year Ago	2 Years Ago
Full Time:				Terminated: (involuntary)			
Part Time:				Resigned: (voluntary)			
Total:				Layoffs:			

Number of employees that are in the following salary ranges (salary includes bonuses and commissions):

\$30,000 or less:	\$30,000 - \$100,000:	\$100,000 - \$200,000	\$200,000 - above
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Locations of Applicant by state or country (if foreign) and number of employees for each (attach schedule if necessary):

State or Country	# of Employees	# of locations	State or Country	# of Employees	# of Locations

8. Total Number of Shareholders _____

9. Director/ Officer Shareholders	%Voting Shares Owned
_____	_____
_____	_____
_____	_____

10. Other Shareholders owning 5% or more	
_____	_____
_____	_____

COVERAGE REQUESTED:

11a. Limit Requested \$ _____ (Defense is included in the Limit)

11b. Retention Requested \$ _____

11c. Effective date: _____

MERGER AND ACQUISITION INFORMATION:

12. Has the Applicant within the past twelve months completed or agreed to, or does it contemplate in the next twelve months, any of the following, whether or not such transactions were or will be completed?

- (a) A merger, acquisition, creation, sale, purchase, spin off, divestiture, consolidation or tender offer of or for any entity, plant, office, subsidiary, branch or division? Yes No
- (b) Sale, distribution or divestiture of any assets or stock other than in the ordinary course of business? Yes No
- (c) Any branch, location, facility, office or subsidiary closings consolidations or layoff? Yes No

If Yes, how many employees will be impacted? _____

- (d) Reorganization or arrangement with creditors under federal or state law? Yes No
- (e) Any registration for a public offering or private placement of securities? Yes No

If Yes, please attach a copy of the Prospectus.

HUMAN RESOURCE INFORMATION

13. Does the Applicant have a full time Human Resources manager and/or department? Yes No

14. Does the Applicant have a handbook? Yes No

Does the handbook include or does Applicant have written policies and procedures for:

- a. Equal Opportunity Employment Yes No
- b. Anti-discrimination Yes No
- c. Anti-Sexual Harassment Yes No
- d. Employment at Will Yes No



- e. Handling complaints of sexual harassment and other discrimination Yes No
- f. Handling other employee grievances or complaints Yes No
- g. Internet usage/employee privacy Yes No
- h. ADA accommodations Yes No

Does the applicant:

- i. Use any tests to screen applicants or employees? Yes No
- j. Review all terminations with human resources or legal counsel? Yes No
- k. Provide training for anti-discrimination or anti-sexual harassment and other written policies? Yes No
- l. Use severance pay/releases for terminations? Yes No
- m. Provide written performance evaluations? Yes No
- n. Maintain personnel files? Yes No

Has the handbook been reviewed by legal counsel in the past 5 years? Yes No

- 15. Is the Applicant a Federal Contractor? Yes No
 If yes, do you have an Affirmative Action Plan? Yes No
 Has the Applicant been the subject of an OFCCP audit? Yes No

16. In the last 12 months how many officers have terminated employment with the Applicant; voluntarily and involuntarily? _____.

- 17. Does the Applicant use written employment contracts? Yes No
 If yes, how many employees are employed pursuant to written employment contracts? _____.

OTHER INSURANCE INFORMATION:

Policy	Limit	Retention	Insurance Co.	Policy Period	Premium
Directors & Officers Liability					
Employment Practices Liability (indicate if included in D&O)					
Fiduciary Liability					
Fidelity					
Errors and Omissions					

Have any of the Applicant's current liability insurers indicated intent not to offer renewal terms?

- Yes No

If yes, please provide details.

NOTE: Applicants in Missouri are not required to answer the above question.

LOSS HISTORY:

18. Has the Applicant or any director, officer or other proposed **Insured** been involved in any of the following?
- | | | |
|--|------------------------------|-----------------------------|
| (a) Anti-trust, copyright or patent litigation or non-litigation disputes? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| (b) Civil or criminal action or administrative proceeding charging violation of a federal, state or foreign securities laws? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| (c) Any other criminal actions? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| (d) Representative actions, class actions or derivative suits? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| (e) Investigation by the Securities and Exchange Commission, or similar state or foreign agency? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

19. Has the Applicant or any director, officer or other proposed **Insured** given written notice under the provisions of any prior or current directors and officers liability policy, employment practices liability policy, or any other type of policy, of facts or circumstances which might give rise to a **Claim** being made against any proposed **Insured**?
- Yes No

For Minnesota applicants only, please indicate if the Applicant or any director, officer or other proposed **Insured** has given written or oral notice under the provisions of any prior or current directors and officers liability policy, employment practices liability policy, or any other type of policy, of specific facts or circumstances that might give rise to a **Claim** being made against any proposed **Insured**?

Yes No

If Yes, attach details.

20. Have any payments been made on behalf of any proposed Applicant under any directors and officers liability policy, employment practices liability policy, or any other type of insurance?
- Yes No

If Yes, attach details.

21. (a) Have any civil or criminal charges, claims, losses, lawsuits, administrative proceedings, hearings or demands been made against the Applicant or any entity or person proposed for this insurance during the past five (5) years which could fall within the scope of directors and officers or employment practices liability insurance, whether or not insured? (including without limitation claims involving employees, independent contractors, or alleged state or federal copyright, patent, antitrust, fair trade, or securities violations, class actions or derivative suits or investigations by the SEC, the Department of Labor, or similar state or foreign agency)?
- Yes No

If yes, please provide details for each including the type of claim, proceeding or complaint; how it was resolved, any amounts paid as defense, settlement or damages and whether any insurance



responded to the claim as well as any corrective actions taken as a result of or in response to the claim.

(b) Have any losses, lawsuits, administrative proceedings, hearings or demands been made against the Applicant or any entity or person proposed for this insurance during the past five (5) years alleging violation of any **Wage and Hour Law**? Yes No

22. (a) There is no claim now pending nor has there been any claim against the Applicant, any of its **Subsidiaries**, or any director, officer or other proposed **Insured** in his or her insured capacity except as follows _____

If no such claims, check here: None

(b) No Applicant, director, officer or other proposed **Insured** has knowledge or information of any fact, circumstance, situation, event or transaction which may give rise to a claim under the proposed insurance except as follows _____

If no such knowledge or information, check here: None

It is agreed that any **Claim** based upon or arising out of any claim or fact, circumstance, situation, event or transaction known which should have been disclosed in 22. above is excluded from coverage under the proposed insurance.

ATTACHMENTS:

23. Attach the following materials regarding the Applicant:

- (a) the latest audited financial statement;
- (b) the latest interim financial statement;
- (c) copies of any registration statements filed with the SEC or any private placement memorandums within the last twelve (12) months;
- (d) a list of all corporations, entities or organizations proposed for this insurance;
- (e) a complete list of all Directors and Officers of the Applicant by name and affiliation with other organizations;
- (f) if Applicant has over 250 employees, a copy of the most current EEO1 report; and
- (g) if Applicant has over 1000 employees, a copy of the employee handbook.



THE UNDERSIGNED DECLARES THAT THE STATEMENTS SET FORTH HEREIN ARE TRUE. THE UNDERSIGNED AGREES THAT IF THE INFORMATION SUPPLIED ON THIS APPLICATION CHANGES BETWEEN THE DATE OF THIS APPLICATION AND THE EFFECTIVE DATE OF THE INSURANCE, HE/SHE WILL, IN ORDER FOR THE INFORMATION TO BE ACCURATE ON THE EFFECTIVE DATE OF THE INSURANCE, IMMEDIATELY NOTIFY THE INSURER OF SUCH CHANGES, AND THE INSURER MAY WITHDRAW OR MODIFY ANY OUTSTANDING QUOTATIONS OR AUTHORIZATIONS OR AGREEMENTS TO BIND THE INSURANCE.

SIGNING OF THIS APPLICATION DOES NOT BIND THE APPLICANT OR THE INSURER TO COMPLETE THE INSURANCE, BUT IT IS REPRESENTED THAT THE STATEMENTS CONTAINED IN THIS APPLICATION AND THE MATERIALS SUBMITTED HERewith ARE THE BASIS OF THE CONTRACT SHOULD A POLICY BE ISSUED AND HAVE BEEN RELIED UPON BY THE INSURER IN ISSUING ANY POLICY. THE INSURER IS AUTHORIZED TO MAKE ANY INVESTIGATION AND INQUIRY IN CONNECTION WITH THIS APPLICATION AS IT DEEMS NECESSARY.

ALL WRITTEN STATEMENTS AND MATERIALS FURNISHED TO THE INSURER IN CONJUNCTION WITH THIS APPLICATION ARE HEREBY INCORPORATED BY REFERENCE INTO THIS APPLICATION AND MADE A PART HEREOF. THIS APPLICATION AND MATERIALS SUBMITTED WITH IT SHALL BE RETAINED ON FILE WITH THE INSURER AND SHALL BE DEEMED ATTACHED TO AND BECOME PART OF THE POLICY IF ISSUED. THIS PARAGRAPH DOES NOT APPLY IN THE STATES OF UTAH AND WISCONSIN.

NOTE TO UTAH AND WISCONSIN RESIDENTS: ALL WRITTEN STATEMENTS AND MATERIALS FURNISHED TO THE INSURER IN CONJUNCTION WITH THIS APPLICATION ARE MADE A PART HEREOF PROVIDED THIS APPLICATION AND SUCH MATERIALS ARE ATTACHED TO THE POLICY AT THE TIME OF ITS DELIVERY.

WARNING

ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT (S)HE IS FACILITATING A FRAUD AGAINST AN INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT MAY BE GUILTY OF INSURANCE FRAUD.

NOTICE TO ARKANSAS APPLICANTS: “ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT, OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.”

NOTICE TO COLORADO APPLICANTS: "IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, AND DENIAL OF INSURANCE AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICYHOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICYHOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AGENCIES."

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: "WARNING: IT IS A CRIME TO PROVIDE FALSE OR MISLEADING INFORMATION TO AN INSURER FOR THE PURPOSE OF DEFRAUDING THE INSURER OR ANY OTHER PERSON. PENALTIES INCLUDE IMPRISONMENT AND/OR FINES. IN ADDITION, AN INSURER MAY DENY INSURANCE BENEFITS IF FALSE INFORMATION MATERIALLY RELATED TO A CLAIM WAS PROVIDED BY THE APPLICANT."

NOTICE TO FLORIDA APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY IN THE THIRD DEGREE."

NOTICE TO KENTUCKY APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME."

NOTICE TO LOUISIANA APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

NOTICE TO MAINE APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS."

NOTICE TO NEW JERSEY APPLICANTS: "ANY PERSON WHO INCLUDES ANY FALSE OR MISLEADING INFORMATION ON AN APPLICATION FOR AN INSURANCE POLICY IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES."

NOTICE TO NEW MEXICO APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO CIVIL FINES AND CRIMINAL PENALTIES."

THE INSURER SHALL NOT OFFER AN **OPTIONAL EXTENSION PERIOD** FOR THIS POLICY IN NEW MEXICO.

NOTICE TO OHIO APPLICANTS: "ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT HE IS FACILITATING A FRAUD AGAINST AN INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD."

NOTICE TO OKLAHOMA APPLICANTS: "ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE ANY INSURER, MAKES ANY CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY."

NOTICE TO OREGON APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO MAY BE GUILTY OF INSURANCE FRAUD WHICH MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES, INCLUDING BUT NOT LIMITED TO FINES, DENIAL OF INSURANCE BENEFITS, CIVIL DAMAGES, CRIMINAL PROSECUTION AND CONFINEMENT IN STATE PRISONS."

NOTICE TO PENNSYLVANIA APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES."

NOTICE TO TENNESSEE, VIRGINIA AND WASHINGTON APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS."

NOTICE TO NEW YORK APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIMS CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION."



Signed:

Must be signed by
Chief Executive Officer, President or other authorized Executive of Applicant

Date:

(Day) (Month) (Year)

If this **Application** is completed in Florida, please provide the Insurance Agent's name and license number as designated. If this **Application** is completed in Iowa, please provide the Insurance Agent's name only.

Name of Insurance Agent

License Identification No.

Authorized Representative

*If this **Application** is completed in Wisconsin, please note the following:*

- *If this Policy is cancelled by the **Parent Company**, the Insurer shall retain the customary short rate portion of the premium hereon. If this Policy is cancelled by the Insurer, the Insurer shall*

retain the pro rata portion of the premium hereon. Payment or tender of any unearned premium by the Insurer shall not be a condition precedent to the effectiveness of cancellation.

- *As a condition precedent to the right to purchase the **Optional Extension Period**, the total premium for this Policy must have been paid. The right to purchase the **Optional Extension Period** shall terminate unless written notice together with full payment of the premium for the **Optional Extension Period** is given to the Insurer within thirty (30) days after the effective date of cancellation or nonrenewal. If such notice and premium payment is not so given to the Insurer, there shall be no right to purchase the **Optional Extension Period**.*
- *In the event of the purchase of the **Optional Extension Period**, the entire premium for the **Optional Extension Period** shall be deemed earned at its commencement.*